

FIRST AUDIT RADAR · FREE SAMPLE · CONNECTICUT

Plans in Connecticut expected to need their first audit for plan year 2026

Built from U.S. Department of Labor Form 5500 public data (EFAST2, filings received through 24 Sep 2026). A plan that filed Form 5500-SF for 2025 and reported **121 or more participants with account balances** at year-end generally loses the small-plan audit waiver for the next plan year (29 CFR 2520.103-1, 80-120 participant rule).

11

plans in Connecticut on the 2026 forecast list

82

plans in Connecticut on the 100-120 watch list

81%

of comparable 2023 forecasts filed an audited return the next year

Sample: 3 of 11 plans

Plan sponsor	City	Industry	Type	With balance BOY 2025	With balance EOY 2025	Net assets	Audited 5500 due
CRE DELIVERY CRE DELIVERY 401(K) PLAN	Plantsville	Transportation	401(k)	69	122	\$396,518	2027-07-31 ext. 2027-10-15
DISCOUNT TROPHY & CO., INC. DISCOUNT TROPHY & CO., INC. 401(K) PLAN	South Windsor	Wholesale	401(k)	60	131	\$3,596,134	2027-07-31 ext. 2027-10-15
CARECO MEDICAL CARECO MEDICAL 401(K) PLAN	Waterford	Health Care	401(k)	108	174	\$226,763	2027-07-31 ext. 2027-10-15

Deadlines are for the first audited plan year (2026, calendar-year plans): last day of the 7th month after plan year end; 15th day of the 10th month with Form 5558.

Who audits plans in Connecticut today

Audit firm	Plan audits (PY2024)	Share in state
Cliftonlarsonallen LLP	145	12.2%
Cohnreznick LLP	133	11.2%
Baker Tilly US, LLP	68	5.7%
Cbiz CPAS P.C.	57	4.8%
Caron & Bletzer, PLLC	50	4.2%
Fiondella, Milone & Lasaracina LLP	48	4.0%
Whittlesey PC	47	4.0%

Audit firm	Plan audits (PY2024)	Share in state
Novak Francella, LLC	41	3.5%

Forecast list by industry

Industry	Plans
Manufacturing	4
Health Care	3
Retail	1
Wholesale	1
Finance & Insurance	1

The full Connecticut list includes every forecast plan with sponsor, EIN, plan number, city, industry (NAICS), participant trend, net assets and deadlines, plus the watch list and monthly updates through the 2026 filing season. Connecticut is part of the Northeast package: \$390 per year at the founding price (regular \$490), or \$1,490 nationwide; 30-day money-back guarantee. Subscribe at firstauditradar.com/buy.php?p=northeast

Source: U.S. Department of Labor, EBSA Form 5500 datasets (public data). Company-level information only; no personal data. Forecasts are estimates based on filed data and are not legal or audit advice. Sponsors may ask for removal from public samples at hello@firstauditradar.com. First Audit Radar · firstauditradar.com